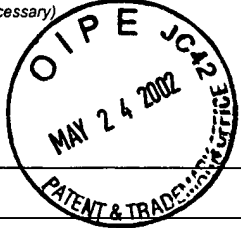


7

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	09/781592
Filing Date	February 12, 2001
First Named Inventor	Emerson, Beverly
Group Art Unit	1616 1635
Examiner Name	Whiteman, Brian

Sheet 1 of 1

Attorney Docket No: 01211.003US1

TECH CENTER 1600/2900

MAY 29 2002

RECEIVED

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
DW	US-5972608	10/26/1999	Peterson, C.L., et al	435	6	08/27/1997

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
BW	EP-0976825	02/02/2000	Jones, Michael H.	C12N	15/12	
I	WO-98/54311	12/03/1998	Barbas, Carlos F. III, et al	C12N	15/01	
BW	WO-99/55891	11/04/1999	Richards, Eric J., et al	C12N	15/82	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
DW		CHO, H., et al., "A Human RNA polymerase II Complex containing factors that modify chromatin structure", <u>Molecular and Cellular Biology</u> , 18(9), (1998), pp. 5355-5363	
I		ICHINOSE, H., et al., "Ligand-dependent interaction between the estrogen receptor and the human homologues of SWI2/SNF2", <u>Gene: An Int'l J of Genes & Genomes</u> , 188, (1997), pp. 95-100	
I		KADAM, S., et al., "Functional selectivity of recombinant mammalian SWI/SNF subunits", <u>Genes and Development</u> , 14(19), (2000), pp. 2441-2451	
DW		VIGNALI, M., et al., "ATP-dependent chromatin-remodeling complexes", <u>Molecular and Cellular Biology</u> , 20(6), (2000), pp. 1899-1910	

EXAMINER

Brian J. Whiteman

DATE CONSIDERED

1/2/03

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached